

Modern Slavery Statement 2020

This statement is made pursuant to section 54 of the Modern Slavery Act 2015 (the “**Act**”) on behalf of Gleacher Shacklock LLP (“**Gleacher Shacklock**”) and constitutes our slavery and human trafficking statement for the financial year ending 31 December 2019.

Business activities

Gleacher Shacklock is a UK-based, independent, corporate finance advisory firm providing advice to major public and private companies and the private equity, infrastructure and specialist investment fund communities. Given the size and nature of the firm’s business, our supply chains are limited both in number and in scope.

Gleacher Shacklock operates from its office in central London and all its business activities are conducted through the firm.

Supply chains

In order to provide its advisory services, the firm has four principal supply chains:

- Services provided by external professional advisers – for example, legal and accounting services
- Services provided by outsourced functions – for example, payroll, cleaning and catering services
- Suppliers of goods – for example, office supplies, telecom and IT equipment and food and drink for staff
- Utility and information service providers

Our approach

We are committed to high standards of professionalism, integrity and ethics in conducting our business. We do not tolerate any form of slavery, servitude, forced or compulsory labour or human trafficking in any part of our business or our supply chains. We aim to ensure that our supply chains are free from slavery and human trafficking.

We assess our supply contracts by size and risk profile as part of our existing procurement processes and, in each case, consider the risk of slavery or human trafficking being present. However, given the small number of suppliers and the fact that the majority are UK based professional services firms and/or suppliers with whom the firm has a longstanding relationship, we believe that there is a very low risk of slavery, servitude, forced or compulsory labour or human trafficking in any part of our supply chains.

Nevertheless, the firm keeps its supply chains under periodic review (typically, at the end of each financial year) and remains committed to ensuring that its supply chains comply with the Act.

Staff awareness

The firm’s anti-slavery and human trafficking policy sets out the firm’s policies and procedures in accordance with the Act and emphasises our zero tolerance approach to any instance of modern slavery or human trafficking.

The policy encourages all staff to raise any concerns as regards any issue or suspicion of modern slavery in any part of the business or its supply chains at the earliest possible stage and, as necessary, in accordance with the firm’s whistleblowing policy.

Over the last 12 months, the firm has taken steps to ensure that our induction programme for all new staff covers the firm's modern slavery policy, as well as providing a more general awareness and understanding of the scope and objectives of the Act.

Management approval

This statement was approved by the senior management of Gleacher Shacklock on 1 May 2020.

Signed by:

A handwritten signature in black ink, appearing to read "Ngai Bin". The signature is written in a cursive style with a large initial 'N' and a long, sweeping tail.

General Counsel

For and on behalf of Gleacher Shacklock LLP

1 May 2020